

PATENTS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/765,226
Applicants : LIU et al.
Filed : 01/18/2001
TC/A.U. : 2828
Examiner : Tuan N Nguyen
Docket No. : 101215-55
Customer No. :

Confirmation No.: 7377

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JUL 15 2004

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PRELIMINARY AMENDMENT FOR RCE

Sir:

Prior to consideration of the application on the merits, please amend the above-identified
application as indicated below.

NORRIS, McLAUGHLIN & MARCUS

A PROFESSIONAL CORPORATION

220 EAST 42ND STREET
30TH FLOOR
New York, NY 10017
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FACSIMILE COVER SHEET

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Serial No.:
TELEFAX: 703-872-9306
COMPANY/FIRM: Norris McLaughlin & Marcus
FROM: Olimpia for Christa Hildebrand
ATTORNEY DOCKET NO.: 101215-55
DATE: July 15, 2004

MESSAGE:

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office on the date shown below:

- Request for Continued Examination (1 Sheet)
- Petition for Extension of Time (1 Sheet)
- Fee Transmittal (1 sheet) – **CHARGE DEPOSIT ACCOUNT 14-1263**
- Preliminary Amendment for RCE (7 Pages)

By Olimpia for Christa Hildebrand

Date 7/15/2004

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PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0851-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$1,720.00

Complete if Known

Application Number	09/765,226
Filing Date	January 18, 2001
First Named Inventor	Baining LIU et al.
Examiner Name	Tuan NGUYEN
Art Unit	2828
Attorney Docket No.	101215-55

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
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Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims				Fee from below		Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text" value="0"/>	X	=	<input type="text" value="0.00"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text" value="0"/>	X	=	<input type="text" value="0.00"/>
Multiple Dependent	<input type="text"/>				=	<input type="text"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	950.00
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	280	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1480	130	1480	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(e))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	770.00
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \$1,720.00

SUBMITTED BY

Name (Print/Type)	Christa Hildebrand	Registration No. (Attorney/Agent)	34,953	Telephone	212-808-0070
Signature	<i>Christa Hildebrand</i>	Date	July 15, 2004		

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